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General information about company

Scrip code	542759
NSE Symbol	SPANDANA
MSEI Symbol	NOTLISTED
ISIN	INE572J01011
Name of the entity	Spandana Sphoorty Financial Limited
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Quarterly
Date of Report	30-06-2022
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 1000 listed entities

Enter the quarter
ended date only

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Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory		Add Notes																		
Whether the listed entity has a Regular Chairperson		Yes																		
Whether Chairperson is related to MD or CEO		No																		
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? (Refer Reg. 17(A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of the appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
Add	Delete																			
1	Ms	Abanti Mitra		0395893	Non-Executive - Independent Director	Chairperson		08-07-1978	NA		04-05-2017	04-05-2017		62	2	2	5	5		
2	Mr	Deepak Callan Vaidya		00397276	Non-Executive - Independent Director	Not Applicable		09-01-1946	Yes	09-07-2019	06-06-2018	30-07-2019		46	3	2	6	1		
3	Mr	Jagdish Kapoor		00002516	Non-Executive - Independent Director	Not Applicable		01-07-1939	Yes	11-07-2019	06-06-2018	11-07-2019		46	1	1	2	0		
4	Mr	Sharat Dhirajal Shah		00136969	Non-Executive - Independent Director	Not Applicable		08-02-1947	Yes	08-09-2021	13-04-2018	28-09-2021		51	4	4	5	1		
5	Ms	Padmaia Gangadiddy		00004842	Non-Executive - Non Independent Director	Not Applicable		14-01-1967	NA		19-04-2003	19-04-2019			1	0	1	0		
6	Mr	Ramachandra Kasaragod Kamath		01715073	Non-Executive - Nominee Director	Not Applicable		09-11-1995	NA		04-05-2017	28-09-2021			2	0	0	0		
7	Mr	Suresh Sharma		00774432	Non-Executive - Nominee Director	Not Applicable		25-10-1974	NA		11-03-2017	30-09-2020			3	0	0	0		
8	Mr	Kartikavya Dhruv Kaji		07641723	Non-Executive - Nominee Director	Not Applicable		22-03-1983	NA		11-03-2017	28-09-2021			2	0	0	1	0	
9	Mr	Mr Amit Sobti		07795874	Non-Executive - Nominee Director	Not Applicable		04-03-1979	NA		29-05-2017	30-09-2020			1	0	0	0	0	
10	Mr	Shalabh Savena		08908237	Executive Director	Not Applicable	ED-MD	05-05-1971	NA		19-09-2022	19-09-2022			1	0	0	0		

Annexure 1

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Add Notes

For this quarter kindly note the following points:

1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
2. Date of Appointment can be any day upto September 30, 2021.
3. Date of Cessation must be for the current quarter only, i.e. July 1,2021 to September 30 2021

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02305893	Abanti Mitra	Non-Executive - Independent Director	Chairperson	14-06-2018		
2	00136969	Bharat Dhirajlal Shah	Non-Executive - Independent Director	Member	15-05-2018		
3	00337276	Deepak Calian Vaidya	Non-Executive - Independent Director	Member	14-11-2018		
4	00002516	Jagdish Capoor	Non-Executive - Independent Director	Member	14-11-2018		
5	07641723	Kartikeya Dhruv Kaji	Non-Executive - Nominee Director	Member	14-11-2018		
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00136969	Bharat Dhirajlal Shah	Non-Executive - Independent Director	Chairperson	15-05-2018		
2	02305893	Abanti Mitra	Non-Executive - Independent Director	Member	15-06-2017		
3	07641723	Kartikeya Dhruv Kaji	Non-Executive - Nominee Director	Member	15-06-2017		
4							
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02305893	Abanti Mitra	Non-Executive - Independent Director	Chairperson	15-05-2018		
2	00002516	Jagdish Capoor	Non-Executive - Independent Director	Member	14-11-2018		

3	00337276	Deepak Calian Vaidya	Non-Executive - Independent Director	Member	14-11-2018		
4	01715073	Ramachandra Kasargod Kamath	Non-Executive - Nominee Director	Member	14-11-2018		
5	07641723	Kartikeya Dhruv Kaji	Non-Executive - Nominee Director	Member	15-05-2018		
6							
7							
8							
9							
10							

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01715073	Ramachandra Kasargod Kamath	Non-Executive - Nominee Director	Chairperson	15-05-2018		
2	00136969	Bharat Dhirajal Shah	Non-Executive - Independent Director	Member	15-05-2018		
3	00002516	Jagdish Capoor	Non-Executive - Independent Director	Member	14-11-2018		
4	07641723	Kartikeya Dhruv Kaji	Non-Executive - Independent Director	Member	15-05-2018		
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01715073	Ramachandra Kasargod Kamath	Non-Executive - Nominee Director	Chairperson	25-07-2017		
2	00004842	Padmaja Gangireddy	Non-Executive - Non Independent Director	Member	25-07-2017		
3	02305893	Abanti Mitra	Non-Executive - Independent Director	Member	25-07-2017		
4	00274432	Sunish Sharma	Non-Executive - Nominee Director	Member	09-02-2021		
5	00337276	Deepak Calian Vaidya	Non-Executive - Independent Director	Member	09-02-2021		
6							
7							
8							
9							
10							

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Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
	Add Delete					
1	05-01-2022			Yes	9	4
2	10-01-2022	4		Yes	8	3
3	14-02-2022	34		Yes	9	4
4	18-03-2022	31		Yes	8	4
5	30-05-2022	72		Yes	9	4

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* to be filled in only for the current quarter meetings

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IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory

[Add Notes](#)

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
Add Delete								
1	Audit Committee	05-01-2022				Yes	5	4
2	Audit Committee	14-02-2022	39			Yes	5	4
3	Audit Committee	23-05-2022	97			Yes	5	4
4	Nomination and remuneration committee	17-03-2022				Yes	3	2
5	Nomination and remuneration committee	30-03-2022	12			Yes	3	2
6	Nomination and remuneration committee	23-05-2022	53			Yes	3	2
7	Stakeholders Relationship Committee	05-01-2022				Yes	4	2
8	Stakeholders Relationship Committee	14-02-2022	39			Yes	5	3
9	Stakeholders Relationship Committee	23-05-2022	97			Yes	5	3
10	Risk Management Committee	30-03-2022				Yes	4	2
11	Corporate Social Responsibility Committee	30-03-2022				Yes	5	2
12	Corporate Social Responsibility Committee	23-05-2022	53			Yes	4	2

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* to be filled in only for the current quarter meetings

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V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			Add Notes
Disclosure of notes of material transaction with related party			Add Notes

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VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

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Sr	Subject	Compliance status
1	Name of signatory	Ramesh Periasamy
2	Designation	Company Secretary and Compliance Officer

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Signatory Details

Name of signatory	Ramesh Periasamy
Designation of person	Company Secretary and Compliance Officer
Place	Hyderabad
Date	20-07-2022

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